(Rev. 5/92)

FORM PTO-1449 SAMUELS, GAUTHIER & STEVENS LLP 225 Franklin Street, Boston, MA 02110

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APPLICANT: Kimerling et al.

GROUP: 2827 2883

INFORMATION DISCLOSURE **FATEMENT BY APPLICANT** 

FILING DATE: 12/11/2003

EXAMINER: Unknown Derek Dupui

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## U.S. PATENT DOCUMENTS

EXAMINE TE	TRADEAR	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
DUD	AA	5,107,316	04/21/1992	Jelley et al.			12/28/1989
	AB						
	AC						

### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
DUD	AD	62011810	01/20/1987	JP			YES (Abstract Only)
DUD	AE	923335	10/23/1982	su			YES (Abstract Only)
	AF				•		

# OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL		·
DLD	AG	"Development and Application of flux-paste for laser welding of aluminum alloys," Cretteur et al. Welding International. 2000.
DLD	AH	"Theoretical study on the coupling of two-dimensional Gaussian beam to a dielectric slab waveguide," Nishimura et al. Transactions of the Institute of Electronics and Communication Engineers of Japan. May 1984.
DUD	AI	"Fresnel-like behavior of guided waves," Shen et al. Journal of Optical Society of America. November 1987.
DUD	AJ	"Ultrafast photon drag detector for intersubband spectroscopy," Sigg et al. Superlattices and Microstructures. 1996. Vol. 19, No. 2.
DUD	AK	"Critical-Incidence Coupling to intersubband Excitations," Keilmann et al. Solid State Communications. 1994. Vol. 92, No. 3.
DUD	AL	"Efficient high-speed near-infrared Ge photodetectors integrated on Si Substrates," Colace et al. Applied Physics Letters. 2000. Vol. 76, No. 10.
DUD	АМ	"Optoelectronic Conversion through 850nm Band Single Mode Si <sub>3</sub> N <sub>4</sub> Photonic Waveguides for Si-On-Chip Integration," Matsuura et al. <i>Device Research Conference, Conference Proceedings</i> . Santa Barbara, CA. June 2002.
DUD	AN	"Near and mid infrared silicon/germanium based photodetection," Presting. Thin Solid Films. 1998. Vol.

**DATE CONSIDERED** 9-15-2004

**EXAMINER:** 

Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. (Rev. 5/92)

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EXAMINER: Unknown

Derek Dupuis

#### **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
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	AH						
	AI						·

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ						
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	AL						

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EXAMINER INITIAL		
DLD	AM	"FDTD Analysis of a Polarization Conversion Waveguide with a Single Sloped Sidewall," Yamauchi et al. Optical Society of America. June 2003.
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	AO	

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**DATE CONSIDERED** 9-15-2004

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